

# Deep Learning-based Human-Driven Vehicle Trajectory Prediction and its Application for Platoon Control of Connected and Autonomous Vehicles

Lei Lin<sup>1</sup>   Siyuan Gong<sup>1</sup>   Tao Li<sup>2</sup>

<sup>1</sup>NEXTRANS Center  
Purdue University

<sup>2</sup>Department of Computer Science  
Purdue University

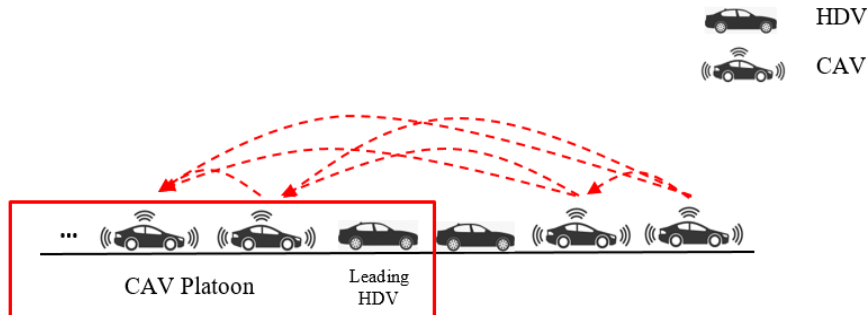
Automated Vehicles Symposium, 2018

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  - Mixed Traffic Flow
  - Data-driven Model
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# Problem Description

- Predict the leading Human-driven Vehicle's (HDV) trajectory for platoon control of CAVs.



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- Long transition period to a fully Connected and Autonomous Vehicle (CAV) environment.
  - All new vehicles will have the connectivity function until 2025 (GSMA, 2013).
  - 75% of vehicles will be autonomous until 2040 (IEEE, 2012).

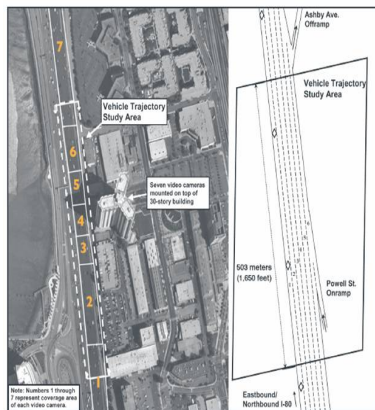
- Most vehicle trajectory prediction models are parsimonious.
  - Provide analytical conclusions and fast simulation speed.
  - Limited model flexibility and accuracy.
  - For example, **car following models** in traffic simulation studies rely on artificial parameters in empirical equations.
- Trajectory data from CAVs in the communication range can help to predict the HDV's trajectory.

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# Data Set and and Preprocessing

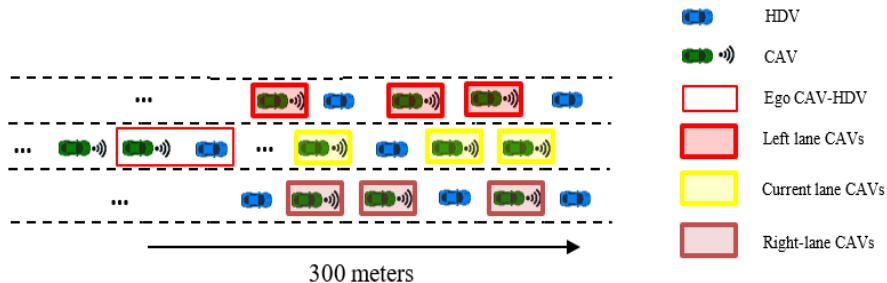
- Next Generation Simulation (NGSIM) Data Set:
  - 500 meters long, 4:00 PM-4:15 PM, 04/13/2005, I-80.
  - Lateral and longitudinal locations, VehID, Time Step, Velocity, Acceleration, Preceding VehID, LaneID by **10 Hz**.
  - Reconstructed data were downloaded (Punzo et al., 2011).



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<sup>1</sup><https://www.fhwa.dot.gov/publications/research/operations/06137/index.cfm>

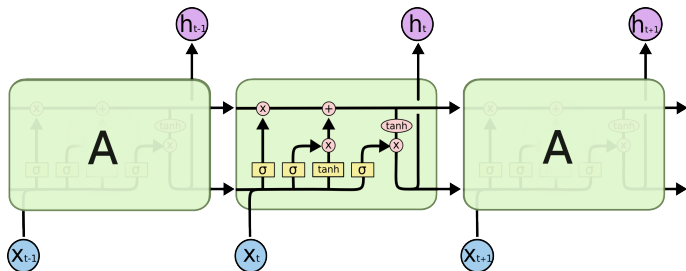
# Data Set and and Preprocessing



- Data Preprocessing Steps:

- CAV Market Penetration Rate: 50%.
- For lane 2, 3, and 4, find the Ego CAV and HDV pairs, and for each pair, find 3 CAVs in the front 300 meters from left, current, and right lanes separately.
- Record the time step, lane location, speed, acceleration rate, vehicle length for the Ego CAV, the HDV and 9 CAVs.

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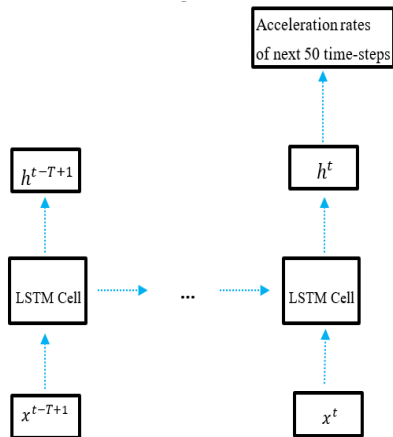
2

- The Long Short-term Memory Model (LSTM).
  - Designed for Sequential Data.
  - Consists of LSTM Cells, each cell has an input gate, forget gate and output gate.
  - Automatically memorizing relevant spatial and temporal features extracted from previous vehicle states.

<sup>2</sup><http://colah.github.io/posts/2015-08-Understanding-LSTMs/>

# Model Building

- Experimental Environment:
  - GTX 1080, Tensorflow.
- LSTM Architecture:
  - Learning rate: 0.001.
  - LSTM cell number: 100.
  - Mini-batch size: 100.
  - Hidden units in each cell: 400.
  - Early stopping threshold: 100.
- Data Set:
  - Training dataset size: 29376 X 7750.
  - Validation dataset size: 9792 X 7750.
  - Testing dataset size: 9792 X 7750.



$T = 100,$

$x^{t-i+1} \in \mathbb{R}^{77}, i = 1, \dots, 100,$

7 features  $\times$  (9 CAVs + 1 Ego CAV + 1 HDV).

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# Results-LSTM Prediction Performances

Table 1: RMSE of Testing Data Sest.

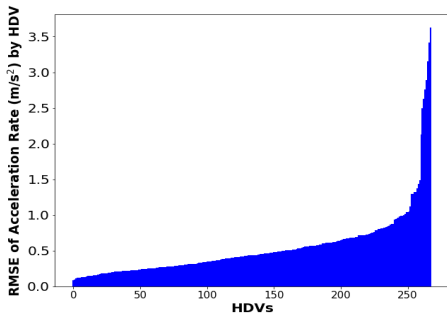
| Number of CAVs | Prediction Steps |      |
|----------------|------------------|------|
|                | 10               | 50   |
| 3 CAVs         | 0.67             | 0.72 |
| 9 CAVs         | 0.71             | 0.72 |

Table 2: Normalized RMSE of Testing Data Sest. (1-RMSE/MEAN)

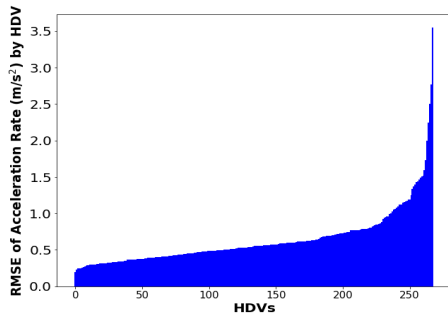
| Number of CAVs | Prediction Steps |        |
|----------------|------------------|--------|
|                | 10               | 50     |
| 3 CAVs         | 95.40%           | 95.11% |
| 9 CAVs         | 95.19%           | 95.01% |

# Results-LSTM Prediction Performances

RMSE by HDV ID (268 HDVs)



10 Prediction Steps with 3 CAVs

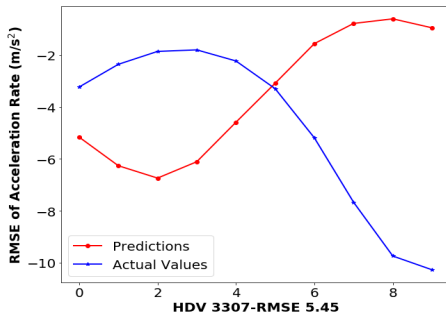
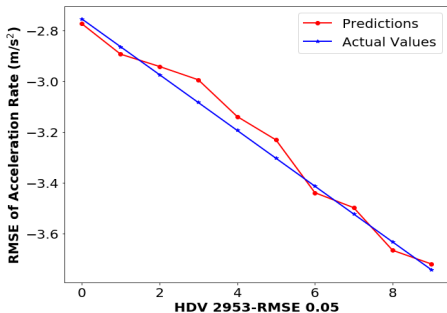


50 Prediction Steps with 3 CAVs



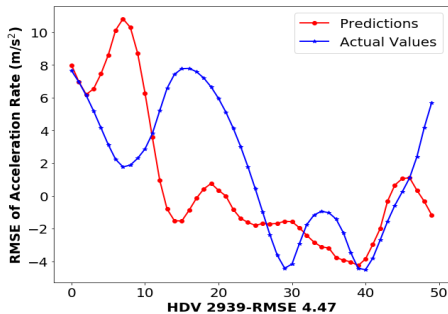
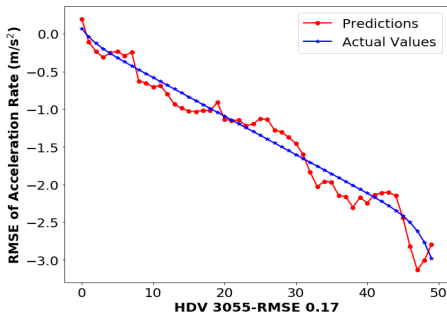
# Results-LSTM Prediction Performances

The Minimum and Maximum RMSEs for one Round of Prediction (10 Prediction Steps with 3 CAVs)



# Results-LSTM Prediction Performances

The Minimum and Maximum RMSEs for one Round of Prediction (50 Prediction Steps with 3 CAVs)



## Results-CAV Platoon Control Performances

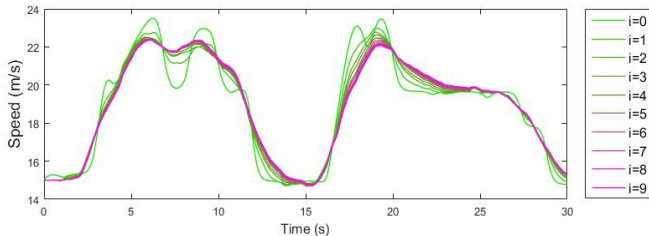
Select two representative HDVs, assume each is followed by a platoon of 9 CAVs, apply the P-step Model Predictive Control (MPC) (Gong and Du, 2018):

Table 3: RMSEs of Two HDVs.

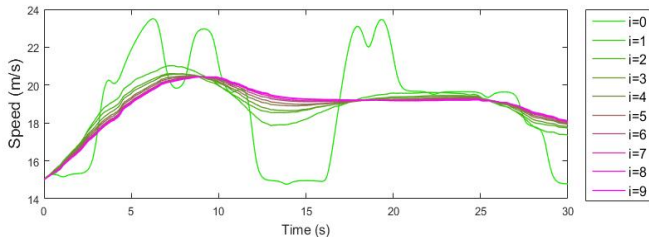
| HDV  | Prediction Steps |      |
|------|------------------|------|
|      | 10               | 50   |
| 2973 | 0.56             | 0.64 |
| 3307 | 0.94             | 0.94 |

# Results-CAV Platoon Control Performances

Impact of MPC on the **speed** of the CAV platoon following HDV 2973:



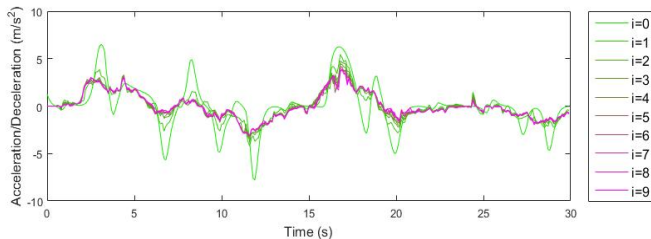
10-step predictions



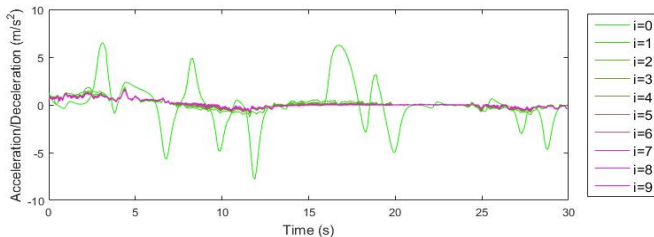
50-step predictions

# Results-CAV Platoon Control Performances

Impact on the **acceleration rate** of the CAV platoon following HDV 2973:



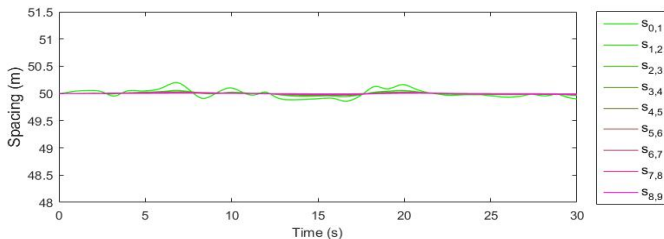
10-step predictions



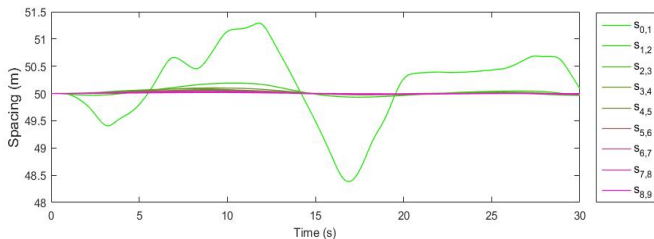
50-step predictions

# Results-CAV Platoon Control Performances

Impact on the **spacing** of the CAV platoon following HDV 2973:



10-step predictions



50-step predictions

## Results-CAV Platoon Control Performances

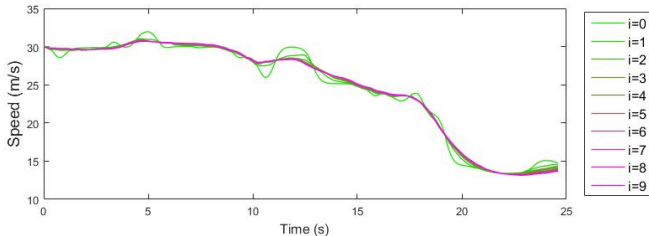
Select two representative HDVs, assume each is followed by a platoon of 9 CAVs, apply the P-step Model Predictive Control (MPC) (Gong and Du, 2018):

Table 4: RMSEs of Two HDVs.

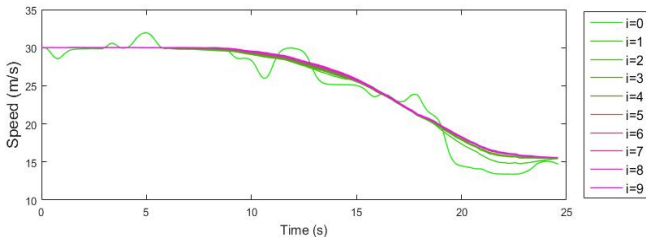
| HDV  | Prediction Steps |      |
|------|------------------|------|
|      | 10               | 50   |
| 2973 | 0.56             | 0.64 |
| 3307 | 0.94             | 0.94 |

# Results-CAV Platoon Control Performances

Impact of MPC on the **speed** of the CAV platoon following HDV 3307:



10-step predictions

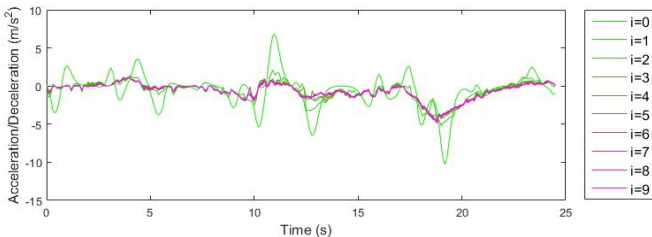


50-step predictions

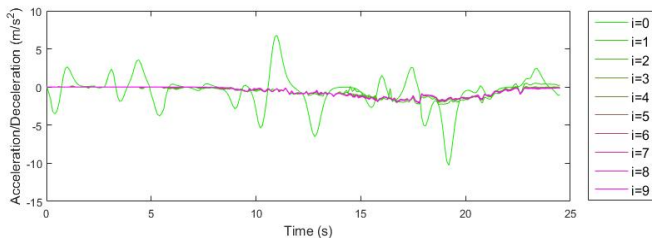


# Results-CAV Platoon Control Performances

Impact on the **acceleration rate** of the CAV platoon following HDV 3307:



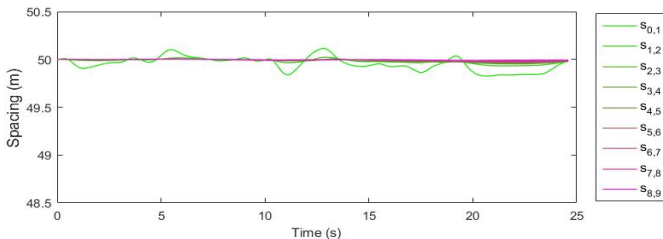
10-step predictions



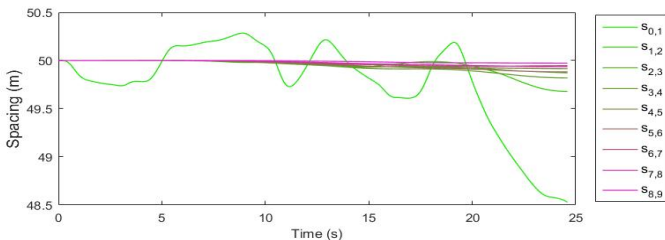
50-step predictions

# Results-CAV Platoon Control Performances

Impact on the **spacing** of the CAV platoon following HDV 3307:



10-step predictions



50-step predictions

- Contributions

- Utilized information from multiple vehicles to predict the HDV's acceleration rates.
- Applied the state-of-the-art LSTM deep learning model for multiple-step-ahead predictions.
- Based on our predictions, MPC Control can ensure both transient traffic smoothness and asymptotic stability of the CAV platoon.

- Limitations

- Assumption of "connected".
- Assumption of "automated".

## Next Steps

- Further clean the dataset.
- Build the CNN-LSTM model.
- Test other platoon control algorithms.
- Evaluate the performances under different CAV MPRs, CV communication ranges and delay and so on.
- Predict a distribution of the acceleration rate and capture the uncertainty.

- GSMA, 2013. Every New Car Connected by 2025 as Embedded Mobile Mobile Technology Drives Growth of Connected Car Market.
- IEEE, 2012.  
<https://www.ieee.org/about/news/2012/5september-2-2012.html>  
(accessed 6.1.18).
- Punzo, V., Borzacchiello, M.T. and Ciuffo, B., 2011. On the assessment of vehicle trajectory data accuracy and application to the Next Generation SIMulation (NGSIM) program data. Transportation Research Part C: Emerging Technologies, 19(6), pp.1243-1262.
- Siyuan Gong and Lili Du. 2018. Cooperative Platoon Control for a Mixed Traffic Flow Including Human Drive Vehicles and Connected and Autonomous Vehicles. Transportation Research Part B: Methodological. Accepted.

Thanks for your attentions!  
Any questions?